

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
4 April 2002 (04.04.2002)

PCT

(10) International Publication Number  
WO 02/26376 A2

(51) International Patent Classification<sup>7</sup>: B01J 19/00, C12Q 1/68

(21) International Application Number: PCT/US01/28216

(22) International Filing Date:  
6 September 2001 (06.09.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/670,766 27 September 2000 (27.09.2000) US

(71) Applicant: SURMODICS, INC. [US/US]; 9924 West 74th Street, Eden Prairie, MN 55344 (US).

(72) Inventors: SWANSON, Melvin, J.; 5290 Mount Carmel Road, Carver, MN 55315 (US). GUIRE, Patrick, E.; 6741 Tartan Curve, Eden Prairie, MN 55346 (US).

(74) Agent: BRUESS, Steven, C.; Merchant & Gould P.C., P.O. Box 2903, Minneapolis, MN 55402-0903 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



A2

(54) Title: HIGH DENSITY ARRAYS

WO 02/26376 A2  
(57) Abstract: The invention provides a method for generating arrays with a variety of densities, in particular, high density arrays. Generally, the method includes a printing step and an illumination step. In the printing step, a predetermined volume of a reagent solution containing receptor molecules is applied to a solid support in a desired pattern. In one embodiment, the receptor molecule is derivatized with a photoreactive agent. In an alternate embodiment, the solid support includes a photoreactive agent. In a preferred embodiment, the receptor molecule is a nucleic acid. In the illumination step, the photoreactive groups are irradiated to immobilize the receptor molecule to the solid support. In one embodiment, a mask having the same center to center distance (e.g., "pitch") as the printed spots, but a smaller diameters, is placed over the printed pattern and illuminated. Preferably the mask illuminates a spot having a smaller diameter than the printed spots. Thus, according to the invention, immobilized reagent spot has a smaller diameter than the original printed spot. In an alternate embodiment, the illumination step can be carried out using mirrored laser technology. If desired, the application and illumination of offset spots can be repeated to form a high density array.

## HIGH DENSITY ARRAYS

This application is being filed as a PCT international patent application in the name of Surmodics, Inc. (U.S. national corporation), on 06 September 2001  
5 designating all countries except the United States.

### Technical Field

The present invention relates to the immobilization of nucleic acids onto a solid support. More particularly, the invention relates to high density nucleic acid  
10 arrays.

### Background of the Invention

Microarrays are small surfaces (typically 2-3 cm<sup>2</sup> wafers of silicon or glass slides) on which different nucleic acid sequences are immobilized. Typically, the  
15 nucleic acids are immobilized at precise locations on the surface via *in situ* solid phase synthesis or covalent immobilization of nucleic acids to the surface. The nucleic acids serve as probes for detecting complementary nucleic acid sequences. The array can have from hundreds to thousands of immobilized nucleic acids. A dense array may have more than 1000 nucleic acid sequences per square cm.

20 To use a microarray, fluorescently labeled DNA or RNA sequences (either synthetic or obtained from a cell of interest) are contacted with the array. The hybridization pattern of the fluorescently labeled fragments can provide a wealth of information.

Microarrays have the unique ability to track the expression of many of a  
25 cell's genes at once, allowing researchers to view the behavior of thousands of genes in concert. Thus, arrays are useful for diagnostics. Detection of unique gene expression patterns may assist a physician in pinpointing the onset of diseases such as cancer, Alzheimer's, osteoporosis and heart disease. Arrays are also useful for understanding which genes are active in a particular disease. Arrays are also useful  
30 for pathogen identification, forensic applications, monitoring mRNA expression and *de novo* sequencing. See, for instance, Lipshutz, et al., *Bio Techniques*, 19(3):442-447(1995).

Microarrays can be manufactured using a variety of techniques. For example, the various oligonucleotides can be manufactured by solid phase synthesis

on the array surface. See, for example, PCT Publication No. WO 92/10092 (Affymax Technologies N.V.). Although arrays having relatively high densities can be manufactured by solid phase synthesis, the length of the nucleic acid sequence is limited. With present techniques, it is common that every addition step in the 5 synthesis of nucleic acids will result in some errors or truncated sequences. However, with oligonucleotide microchips prepared by *in situ* solid phase synthesis, post-synthesis purification techniques (e.g., HPLC) are not possible. Thus, such arrays are generally constructed with relatively short nucleic acid sequences (approx. 20 mers) to limit the amount of error.

10 Alternately, microarrays can be manufactured by immobilizing pre-existing nucleic acids (e.g., oligonucleotides, cDNAs or PCR products) onto the array surface. For example, Synteni (Palo Alto, CA) manufactures arrays of cDNA by applying polylysine to glass slides. Arrays of cDNA are printed onto the coated slides. The printed slides are then exposed to UV light to crosslink the DNA with 15 the polylysine, thereby immobilizing the cDNA to the array.

### Summary of the Invention

The invention provides a method for generating arrays with a variety of densities, in particular, high density arrays (e.g., an array having a density of about 20 10,000 to 100,000 spots per square centimeter or a pitch of between about 30 to about 100 micrometers).

Generally, the method includes a printing step and an illumination step. In the printing step, a volume (between about 0.5 picoliter and 500 picoliters) of a reagent solution containing receptor molecules is applied to a solid support in a 25 desired pattern. In one embodiment, the receptor molecule is derivatized with a photoreactive agent. In an alternate embodiment, the solid support includes a photoreactive agent. Generally, the center to center distance of the pattern spots is between about 200  $\mu$ m and 1 mm and the diameter of the spots is generally between about 100  $\mu$ m and 500  $\mu$ m. In a preferred embodiment, the receptor molecule is a 30 nucleic acid (e.g., oligonucleotide, cDNA or PCR product).

In the illumination step, the photoreactive groups are irradiated to immobilize the receptor molecule to the solid support. In one embodiment, a mask having the same center to center distance (e.g., "pitch) as the printed spots, but a smaller spot diameter, is placed over the printed pattern and illuminated. Preferably

the mask illuminates spots having smaller diameters than the printed spots. Thus, according to the invention, the immobilized reagent spot has a smaller diameter than the original printed spot. In an alternate embodiment, the illumination step can be carried out using mirrored laser technology.

5        Typically, after the illumination step, reagent (e.g., receptor molecule) that has not been immobilized is removed by a wash step. The process can then be repeated, although offset from the original pattern. If desired, the process can be repeated multiple times to manufacture a high-density array.

10      **Brief Description of the Figures**

Figure 1 is a flow chart of the process of the invention.

Figures 2A and 2B are a schematic depiction of the process of the invention.

Figure 3 is a schematic of an alternate process of the invention.

15      **Detailed Description**

The term "photolithography" refers to a process by which exposure of a surface to electromagnetic radiation in a defined pattern results in the generation of that pattern (or the negative of that pattern) on the surface. Typically, the pattern is generated by the formation or breaking of bonds. "Photolithography" can include 20 masking techniques and other techniques, such as mirrored laser illumination.

As used herein, "reagent solution" refers to a solution that includes a receptor molecule. Typically, the reagent solution also includes a buffer. Generally, an array is prepared using at least one, more typically a plurality, of "reagent solutions", each of which include a different receptor molecule such that an array is formed with 25 different receptor molecules at distinct locations on the array.

As used herein, "receptor molecule" refers to a member of a binding pair that is to be immobilized onto the solid support. In a preferred embodiment, the receptor molecule is a nucleic acid. However, the receptor molecule can be any other molecule that specifically binds to a ligand. For example, the receptor molecule can 30 be a protein, such as an immunoglobulin, a cell receptor, such as a lectin, or a fragment thereof (e.g., F<sub>ab</sub> fragment, F<sub>ab'</sub> fragments, etc...).

As used herein, "target ligand," or "target" refers to a ligand, such as a nucleic acid sequence, suspected to be present in a sample that is to be detected and/or quantitated in the method or system of the invention. In one embodiment, the

nucleic acid comprises a gene or gene fragment to be detected in a sample. The term "sample" is used in its broadest sense. The term includes a specimen or culture suspected of containing target ligand.

As used herein, the terms "complementary" or "complementarity," when 5 used in reference to nucleic acids (i.e., a sequence of nucleotides such as an nucleic acid or a target nucleic acid), refer to sequences that are related by the base-pairing rules developed by Watson and Crick. For example, for the sequence "T-G-A" the complementary sequence is "A-C-T." Complementarity may be "partial," in which only some of the bases of the nucleic acids are matched according to the base pairing 10 rules. Alternatively, there may be "complete" or "total" complementarity between the nucleic acids. The degree of complementarity between the nucleic acid strands has effects on the efficiency and strength of hybridization between the nucleic acid strands.

The terms "complementary," or "complementarity," when used in 15 combination with molecules other than nucleic acids, refers to molecules that are capable of binding with a binding partner, such as molecules that are members of a specific binding pair.

The term "hybridization" is used in reference to the pairing of 20 complementary nucleic acids. Hybridization and the strength of hybridization (i.e., the strength of the association between the nucleic acids) is influenced by such factors as the degree of complementarity between the nucleic acids, stringency of the conditions involved, the melting temperature ( $T_m$ ) of the formed hybrid, and the G:C to A:T ratio within the nucleic acids.

As used herein, the term "nucleic acid" refers to any of the group of 25 polynucleotide compounds having bases derived from purine and pyrimidine. The term "nucleic acid" may be used to refer individual nucleic acid bases or oligonucleotides (e.g., a short chain nucleic acid sequence of at least two nucleotides covalently linked together, typically less than about 500 nucleotides in length, and more typically between 20 to 100 nucleotides in length). The term "nucleic acid" 30 can also refer to long sequences of nucleic acid, such as those found in cDNAs or PCR products (e.g., sequences of hundreds or thousands of nucleotides in length). The exact size of the nucleic acid sequence will depend upon many factors, which in turn depend upon the ultimate function or use of the nucleic acid.

Nucleic acids can be prepared using techniques presently available in the art, such as solid support nucleic acid synthesis, DNA replication, reverse transcription, etc. Alternately, nucleic acids can be isolated from natural sources. The nucleic acid can be in any suitable form, e.g., single stranded, double stranded, or as a nucleoprotein. A nucleic acid will generally contain phosphodiester bonds, although, in some cases, a nucleotide may have an analogous backbone, for example, a peptide nucleic acid (PNA). Nucleic acids include deoxyribonucleic acid (DNA) (such as complementary DNA (cDNA)), ribonucleic acid (RNA), and peptide nucleic acid (PNA). The nucleic acid may contain DNA, both genomic and cDNA, RNA or both, wherein the nucleic acid contains any combination of deoxyribo-and ribo-nucleotides. Furthermore, the nucleic acid may include any combination of uracil, adenine, guanine, thymine, cytosine as well as other bases such as inosine, xanthines, hypoxanthine and other non-standard or artificial bases.

PNA is a DNA mimic in which the native sugar phosphate DNA backbone has been replaced by a polypeptide. This substitution is said to increase the stability of the molecule, as well as improve both affinity and specificity.

### Overview

Generally, the invention provides a method for generating a microarray. A microarray generally includes a solid support to which different receptor molecules are attached, each located in a predefined region physically separated from other regions.

While the invention will be described with particular reference to nucleic acids (and their ability to specifically "bind" via hybridization), it is understood that the invention has applicability to other specific binding agents as well, such as immunological binding pairs or other ligand/anti-ligand binding pairs or even proteins for which a ligand has yet to be found, such as targets for drug discovery.

Although the method is suitable for generating arrays with a variety of densities, the method is particularly well suited for generating high-density arrays. As used herein, the term "high density array" refers to a microarray having a density of more than 1,000 spots of receptor molecule per square centimeter, typically more than 5,000 spots per square centimeter, most typically between 10,000 and 100,000 spots per square centimeter. Generally, in a "high density array", the spots are immobilized at a "pitch" between about 30 to about 100 micrometers (e.g., a

distance from center to center between about 30 to about 100 micrometers). In contrast, most commercially available microarrays made by printing techniques have a density of approximately 100 to 1000 spots per square centimeter. Generally, in most commercially available arrays, the spots are immobilized at a pitch between 5 about 100 to about 200 micrometers from center to center.

As used herein, a "spot" refers to a localized area that contains at least one, more typically a plurality, of a particular receptor molecule. Preferably, each "spot" contains a different receptor molecule. "Spot pattern" refers to the configuration of the spots on the surface of the solid support. In some instances, it may be desirable 10 to have a uniform spot pattern, wherein each spot is separated from all neighboring spots by a predetermined distance. However, it is not necessary to have a uniform spot pattern (e.g., distance between one spots and all neighboring spots may not be the same).

Generally, the method includes a printing step and an illumination step.

15 The process is shown schematically in Figures 1 and 2. In the printing step (Fig. 1, step A and Fig. 2A, step 1), a predetermined volume (between about 0.5 picoliters and 500 picoliters) of a reagent solution is applied to a solid support in a desired pattern. Generally, the center to center distance of the printed spots (P) is between about 200  $\mu$ m and 1000  $\mu$ m and the diameter of the printed spots (D) is generally 20 between about 100  $\mu$ m and 500  $\mu$ m.

In one embodiment, the receptor molecule is derivatized with at least one type of photoreactive group. As used herein, the term "type" refers to the reactive group. For example, one "type" of photoreactive group is an azide and another "type" of photoreactive group is an aryl ketone. Thus, a receptor molecule may be 25 derivatized with multiple copies of one type of photoreactive group. Alternately, the receptor molecule may be derivatized with one or more copies of a variety of types of photoreactive groups. (The same concept applies to the following alternatives). In an alternate embodiment, the solid support contains at least one type of photoreactive group. Other alternatives are also envisioned, for example, both the 30 receptor molecule and the solid support can include at least one type of photoreactive group. In another embodiment, the receptor molecule and solid support can include complementary elements of a photoreactive group, such that, upon illumination, the elements will interact to form a stable, preferably covalent,

bond. In yet another embodiment, the reagent solution that is applied to the solid support prior to illumination can include at least one type of photoreactive group.

In the illumination step (shown in Fig. 1, step B and Fig. 2A, step 2) the photoreactive groups are irradiated such that a reaction is initiated that immobilizes the receptor molecule to the solid support. In one embodiment, a mask having the same center to center distance or "pitch" (P) as the printed spots is placed over the printed pattern and illuminated. As used herein, the term "same" means that the pitch of the spots is the same within the precision of the instrument used. Thus, there could be some slight variance between the center to center distances, but generally, the variance is negligible.

Preferably the mask permits radiation to illuminate the printed spots at a smaller diameter ( $D'$ ) than the diameter (D) of the printed spot themselves, such that the spot of immobilized receptor molecule has a smaller diameter ( $D'$ ) than the printed spot (D). Alternately, the illumination step can be accomplished using mirrored laser techniques.

Typically, after the illumination step, receptor molecule that has not been immobilized is removed by a wash step (Fig. 1, step C and Fig. 2A, step 3). The process can then be repeated, although offset from the existing spot pattern(s) (Fig. 1, step D and Fig. 2B). As used herein, the term "offset" refers to location of the immobilized spot. The printed spots may or may not overlap. The term "existing spot" refers to any immobilized spot pattern on the surface. If desired, the process can be repeated multiple times to manufacture a high-density array.

For example, if the printed spots have a diameter of 100  $\mu\text{m}$  and a pitch of 200  $\mu\text{m}$  (center to center), and the photoactivated spots have a diameter of 20  $\mu\text{m}$  (with the same pitch as the printed spots), the mask can be offset to accommodate 25 arrays within the same space, resulting in a 25-fold increase in array density. Thus, if one has the ability make an array having 2500 spots per  $\text{cm}^2$  by printing, using the method of the invention, an array having 62,500 spots per  $\text{cm}^2$  can be prepared.

Advantageously, only one mask is needed for the method of the invention (although, more than one mask may be used if desired). If mirrored laser illumination is used, no masks are required. Thus, the method of the invention can provide a significant reduction in the cost of manufacture of high-density arrays as compared to photolithographic *in situ* solid phase synthesis, which requires multiple masks. Furthermore, longer nucleic acid sequences can be immobilized (including

even cDNAs) than with *in situ* solid phase synthesis and the sequences can be purified prior to immobilization.

The number of spots per array may depend on the size and composition of the array, as well as the end use of the array. For certain diagnostic arrays, only a 5 few different spots may be required; while other uses, such as expression analysis, may require more spots to collect the desired information.

### Nucleic acids

According to the method of the invention, a reagent solution containing 10 receptor molecule is printed onto a solid support. The receptor molecule is preferably a nucleic acid, obtained from a natural source or synthesized using any suitable method. Methods for synthesizing nucleic acids are known. For example, nucleic acids may be prepared by conventional techniques such as polymerase chain reaction or biochemical synthesis, and then purified.

15. The length of the nucleic acid (i.e., the number of nucleotide bases) can vary widely, from 5 bases to several thousand bases. Preferably, the nucleic acid is at least 10 bases in length, to achieve specific hybridization. Nucleic acids with sequences ranging from about 10 to 500 bases are typical, as are sequences of about 20 to 200 bases, and those with 40 to 100 bases. Advantageously, the method of the 20 invention can be used to generate arrays with longer nucleic acid sequences than are readily obtainable by photolithographic *in situ* solid phase synthesis of the nucleic acid sequence on the substrate surface. For example, nucleic acids of more than 30 bases can be used, as can nucleic acids of more than 40, more than 50 bases, or even more than 100 bases. That is, cDNAs and PCR products can be immobilized on the 25 solid support using the method of the invention. Generally, nucleic acids having longer sequences (e.g., greater than 25 bases) are preferred, since higher stringency hybridization and wash conditions may be used, thereby decreasing or eliminating non-specific hybridization. However, shorter nucleic acids may be used if desired.

### 30 Substrate

According to the invention, the receptor molecules are immobilized on a solid support, also referred to herein as a substrate. Generally, the term "solid support" or "substrate" refers to a material that is insoluble in the solvent used and provides a two- or three- dimensional surface on which the nucleic acids can be

immobilized. The composition of the solid support may be anything to which the receptor molecules may be attached, preferably covalently. The composition of the solid support may vary, depending on the method by which the receptor molecules are to be attached.

5 Preferably, the support surface does not interfere with receptor-ligand binding and is not subject to high amounts of non-specific binding. Suitable materials include biological or nonbiological, organic or inorganic materials. Suitable solid supports include, but are not limited to, those made of plastics, functionalized ceramic, resins, polysaccharides, functionalized silica, or silica-based  
10 materials, functionalized glass, functionalized metals, films, gels, membranes, nylon, natural fibers such as silk, wool and cotton and polymers. As used herein, the term "functionalized" refers to the addition of an organic modification to an inorganic surface, by known methods, to provide bonds with which the photoreactive groups can react. Polymeric surfaces are preferred, and suitable polymers include, but are  
15 not limited to polystyrene, polyethylene, polyethylene terephthalate, polyvinyl acetate, polyvinyl chloride, polyacrylonitrile, polymethyl methacrylate, butyl rubber, styrenebutadiene rubber, natural rubber, polypropylene, polyvinylidenefluoride, polycarbonate and polymethylpentene.

20 As mentioned above, the solid support can provide a two-dimensional surface or a three-dimensional surface. A three-dimensional surface can be provided using a solid support of a desired length, width and thickness that is permeable to allow the nucleic acids to migrate into the pores or matrix. Because the nucleic acids can be immobilized along the length, width and height (thickness) of the solid support, a higher density of nucleic acids can be immobilized in a given area on a  
25 three-dimensional surface than on a two-dimensional surface.

Preferably a surface is selected that will reduce non-specific adsorption of the nucleic acids to the solid support. Generally, a hydrophilic surface will reduce non-specific adsorption.

30 "Hydrophilic" and "hydrophobic" are used herein to describe compositions broadly as water loving and water hating, respectively. Generally, hydrophilic compounds are relatively polar and often ionizable. Such compounds usually bind water molecules strongly. Hydrophobic compounds are usually relatively non-polar and non-ionizing. Hydrophobic surfaces will generally cause water molecules to structure in an ice-like conformation at or near the surface. Hydrophobic and

hydrophilic are relative terms and are used herein in the sense that various compositions, liquids and surfaces may be hydrophobic or hydrophilic relative to one another.

The dimensions of the solid support can vary and may be determined by such factors as the dimensions of the desired array, and the amount of diversity desired. In one embodiment, the nucleic acids are immobilized on a substrate in the form of a sheet or film that is subsequently cut into individual arrays. Alternately, individual arrays can be manufactured independently. The solid supports may also be singly or multiply positioned on other supports, such as microscope slides.

10

#### Printing

According to the invention, a volume of a reagent solution containing receptor is applied to a solid support at a selected position. The reagent solution may be applied to the substrate using known techniques, for example, using a modified commercially available printing instrument. For example, a commercially available printing instrument may need to be modified to allow for the illumination processes of the invention. Preferably, an automated x-y-z positioner is used for accurate and repeated spotting of reagent onto the solid support. Preferably, the x-y-z positioner has an accuracy of at least 10  $\mu\text{m}$  in all three (x, y and z) directions.

Generally, spotting robots do not require sensors or visual referencing.

Generally, in the printing stage, a small volume (e.g., between 0.1 picoliters and 1 nanoliter, more typically between 0.5 picoliters and 500 picoliters) of a reagent solution containing the desired receptor molecule is applied to the substrate surface. The diameter of the printed spots may vary, depending on the substrate surface and the volume and viscosity of the solution applied. Typically, the printed spots have a diameter (D) between about 100 to 500  $\mu\text{m}$ . The pitch (P) is generally influenced by the diameter of the spots. Generally, the pitch (P) is two or more times the diameter of the spots (e.g., the pitch is generally between 200  $\mu\text{m}$  and 1000  $\mu\text{m}$ ).

30

#### Photoreactive Groups on the Substrate Surface

In one embodiment, the solid support includes a surface coated with at least one type of photoreactive group. As used herein, "photoreactive groups" include at least one reactive moiety that responds to a specific applied external energy source,

such as radiation, to undergo active species generation (e.g., free radicals such as nitrenes, carbenes and excited ketone states) with resultant covalent bonding to an adjacent chemical structure. Photoreactive groups may be chosen to be responsive to various portions of the electromagnetic spectrum, typically ultraviolet, visible or 5 infrared portions of the spectrum. "Irradiation" refers to the application of electromagnetic radiation to a surface.

According to one embodiment, the receptor molecule to be immobilized on the surface may or may not be modified with a photoreactive group.

For example, the solid support may include a glass substrate having a 10 polycationic polymer coating. In this embodiment, the polymer coating includes a cationic polypeptide, such as polylysine or polyarginine. Such a solid support may be prepared using known techniques. For example, the slide may be prepared by placing a uniform-thickness film of the polycationic polymer on the surface of the slide to form a film that is then dried to form the coating. The amount of a 15 polycationic polymer added is preferably sufficient to form at least a monolayer of polymers on the solid support surface. The film is generally bound to the surface via electrostatic binding between negative silyl-OH groups on the surface and charged amine groups in the polymers. Poly-L-lysine coated glass slides are also commercially available, for example, from Sigma Chemical Co. (St. Louis MO). 20 Nucleic acid sequences can be printed on such a surface and then illuminated to cross-link the nucleic acids to the cationic polymer.

#### Photoreactive Groups Attached to the Receptor Molecule

In an alternate embodiment, the receptor molecules are derivatized with one 25 or more of at least one type of photoreactive group that can be activated to immobilize the receptor molecule to the support surface. According to this embodiment, the photo-derivatized receptor molecule is covalently immobilized to the support surface by the application of suitable irradiation.

The photoreactive groups are preferably covalently bound, directly or 30 indirectly, at one or more points along the receptor molecule. One or more photogroups can be bound to the receptor molecule in any suitable fashion. For example, if the receptor molecule is a nucleic acid, the nucleic acid may be synthesized with at least one derivatized nucleic acid base. Alternately, a naturally occurring or previously synthesized nucleic acid can be derivatized in such a manner

as to provide a photogroup at the 3'-terminus, at the 5'-terminus, along the length of the nucleic acid itself, or any combination thereof.

The photoreactive group provides a derivatized receptor molecule that can be selectively and specifically activated in order to attach the receptor molecule to a support in a manner that substantially retains chemical and/or biological function. According to this embodiment, "direct" attachment of the photoreactive group means that the photoreactive compound is attached directly to the receptor molecule. On the other hand, "indirect" attachment refers to attachment of a photoreactive compound and receptor molecule to a common structure, such as a synthetic or natural polymer. The resulting photo-derivatized receptor molecule can be covalently immobilized by the application of suitable irradiation, and usually without the need for surface pretreatment, to a variety of substrate surfaces. The method of this embodiment involves both the thermochemical attachment of one or more photoreactive groups to a receptor molecule and the photochemical immobilization of that receptor molecule derivative upon a substrate surface.

The receptor molecule can be applied to any solid support, preferably those having carbon-hydrogen bonds with which the photoreactive groups can react to immobilize the nucleic acids to surfaces. Examples of appropriate substrates include, but are not limited to, polypropylene, polystyrene, poly(vinyl chloride), polycarbonate, poly(methyl methacrylate), parylene and any of the numerous organosilanes used to pretreat glass or other inorganic surfaces.

Preparation of a high density array using photo-derived receptor molecules is generally preferred over a method using photoreactive groups on the surface of the solid support because a surface that reduces non-specific adsorption of the nucleic acids (or other components) can be used.

Photo-derivatized nucleic acids, and methods for making the same are disclosed in detail in commonly assigned United States Patent Application Serial No. 09/028,806, entitled PHOTOACTIVATABLE NUCLEIC ACID DERIVATIVES. This application is commonly owned by the assignee of the present application, and the entire disclosure is incorporated herein by reference.

#### Photoreactive Groups

According to one embodiment, the receptor molecules are derivitized with photoreactive groups. Photoreactive aryl ketones are preferred, such as

acetophenone, benzophenone, anthraquinone, anthrone, and anthrone-like heterocycles (i.e., heterocyclic analogs of anthrone such as those having N, O, or S in the 10-position), or their substituted (e.g., ring substituted) derivatives. Examples of preferred aryl ketones include heterocyclic derivatives of anthrone, including 5 acridone, xanthone and thioxanthone, and their ring substituted derivatives. Particularly preferred are thioxanthone, and its derivatives, having excitation wavelengths greater than about 360 nm.

The azides are also a suitable class of photoreactive groups and include arylazides ( $C_6R_5N_3$ ) such as phenyl azide and particularly 4-fluoro-3-nitrophenyl 10 azide, acyl azides (-CO-N<sub>3</sub>) such as ethyl azidoformate, phenyl azidoformate, sulfonyl azides (-SO<sub>2</sub>-N<sub>3</sub>) such as benzensulfonyl azide, and phosphoryl azides (RO)<sub>2</sub>PON<sub>3</sub> such as diphenyl phosphoryl azide and diethyl phosphoryl azide. Diazo compounds constitute another class of photoreactive groups and include diazoalkanes (-CHN<sub>2</sub>) such as diazomethane and diphenyldiazomethane, 15 diazoketones (-CO-CHN<sub>2</sub>) such as diazoacetophenone and 1-trifluoromethyl-1-diazo-2-pentanone, diazoacetates (-O-CO-CHN<sub>2</sub>) such as t-butyl diazoacetate and phenyl diazoacetate, and beta-keto-alpha-diazoacetates (-CO-CN<sub>2</sub>-CO-O-) such as 3-trifluoromethyl-3-phenyldiazirine, and ketenes (-CH=C=O) such as ketene and diphenylketene.

20 Upon activation of the photoreactive groups, the receptor molecules are covalently bound to each other and/or to the material surface by covalent bonds through residues of the photoreactive groups. Exemplary photoreactive groups, and their residues upon activation, are shown as follows.

<u>Photoreactive</u>	<u>Group</u>	<u>Residue Functionality</u>
aryl azides	amine	R-NH-R'
acyl azides	amide	R-CO-NH-R'
azidoformates	carbamate	R-O-CO-NH-R'
sulfonyl azides	sulfonamide	R-SO <sub>2</sub> -NH-R'
phosphoryl azides	phosphoramido	(RO) <sub>2</sub> PO-NH-R'
diazoalkanes	new C-C bond	
diazoketones	new C-C bond and ketone	
diaoacetates	new C-C bond and ester	
beta-keto-alpha-diazoacetates	new C-C bond and beta-ketoester	
aliphatic azo	new C-C bond	

diazirines	new C-C bond
ketenes	new C-C bond
photoactivated ketones	new C-C bond and alcohol

### Illumination

According to the invention, after the reagent solution is printed onto the solid support, at least some of the receptor molecules are immobilized onto the solid support in an illumination step.

In one embodiment, as discussed above, the illumination step is used to immobilize the nucleic acids in an essentially circular configuration having a diameter that is less than the diameter of the printed spot. As used herein, the term "essentially circular" means that the shape is generally that of a circle, although some irregularities may be present. For example, the shape may be slightly oval or the edge defining the shape may not be completely smooth. Additionally, the illumination step can be used to generate a "spot" of immobilized nucleic acids having a non-circular configuration. For example, the nucleic acids can be immobilized in the shape of a square, triangle, cross, dash, etc. Specially shaped "spots" could facilitate detection of hybridization patterns. Generally, the area defined by the illuminated spot is less than the area defined by the diameter of the printed spot. The area (A) defined by the diameter (D) of the essentially circular printed spot refers to the area calculated by the formula:  $Area = \pi (D/2)^2$ .

In another embodiment, "spots" of differing shapes could be superimposed over one another. (Fig. 3) For example, a first nucleic acid sequence could be printed onto the solid support (Fig. 3(1)(A)) and illuminated with a square shaped light pattern (such that the nucleic acids are immobilized in a square; Fig. 3(1)(B)). Non-immobilized nucleic acid is removed (Fig. 3(1)(C)) before a second nucleic acid is printed onto the solid support (Fig. 3(2)(A)). This time, the nucleic acid might be illuminated with a triangular light pattern (Fig. 3(2)(B)). Again, excess nucleic acid is removed. Using this technology, an array can be prepared wherein a square shaped spot will be detected in the presence of one type of target ligand and a triangular shaped spot will be detected in the presence of a different ligand. In another embodiment, the spots having differing configurations can be offset.

Masked Illumination

In one embodiment, the receptor molecules are immobilized to the solid support by masked illumination. As used herein, the term "immobilized" means the receptor molecule is stably attached to the support surface. Such attachment is 5 preferably covalent, although other suitable stable attachment is also contemplated.

Generally, techniques for using masks to control radiation directed immobilization of the receptor molecule to a solid support are known. Briefly, the present invention used a mask (e.g., a chrome or glass mask) to direct the immobilization of receptor molecule onto the solid support. According to the 10 invention, the printed spots are illuminated through a mask having openings at the same pitch (center to center distance) as the printed spots. However, the diameter of illumination at each printed spot is preferably less than the diameter of the printed spot itself. Thus, the diameter of the immobilized receptor molecule is less than the diameter of the printed spot.

15 Preferably, the mask has a pitch from between about 100  $\mu\text{m}$  to about 500  $\mu\text{m}$  from center to center, more preferably between about 100  $\mu\text{m}$  to about 200  $\mu\text{m}$ . Preferably, the illumination diameter for each spot is less than 100  $\mu\text{m}$ , preferably less than 50  $\mu\text{m}$ . The illumination diameter can be between about 10  $\mu\text{m}$  and 50  $\mu\text{m}$ , more typically between 20  $\mu\text{m}$  and 40  $\mu\text{m}$ . In some cases it may be desirable to have 20 an illumination diameter of less than 10  $\mu\text{m}$ . A limiting factor may be wavelength of light used and/or the resolution of the detection system.

The wavelength may be determined, at least in part, by the photoreactive groups used to immobilize the receptor molecule. That is, a given photoreactive groups are preferably illuminated with light of a particular wavelength.

25

Mirrored Laser Illumination

As an alternative to photolithography, mirrored laser illumination may be used to immobilize the receptor molecules to the solid support. According to this embodiment, a digital micromirror is used to direct radiation onto specific areas of 30 the printed spots to immobilize the receptor molecule on the solid support. For example, a suitable digital micromirror array may be Texas Instrument's (Dallas, TX) Digital Micromirror Device (DMD) commonly used in computer display projection systems. The mirrors can be individually positioned and can be used to create any given pattern or image in a broad range of wavelengths.

An advantage of mirrored laser illumination includes the lower cost when compared to photolithographic *in situ* solid phase synthesis of the nucleic acids (e.g., adjusting the mirrors in the micromirror device is cheaper than creating multiple masks).

5

### Methods of Use

The microarray of the invention may be used for high throughput (large scale hybridization assays) and cost-effective analysis of complex mixtures. For example, the assay is suitable for genetic applications, including but not limited to, DNA 10 sequencing, genetic diagnosis, and genotyping of organisms.

The arrays can be adapted to detect a wide variety of nucleic acids in a biological sample. In use, the array can be exposed to a sample suspected of containing one or more target ligands, under conditions suitable to permit the target ligands to hybridize to their corresponding complement on the array. The presence 15 or absence of the target nucleic acid on the assay array can be determined with a chosen signal generation and detection system. Such detection methods are known in the art.

For gene mapping, a gene or a cloned DNA fragment is hybridized to an ordered array of DNA sequences, and the identity of the DNA elements applied to 20 the array is established by the pattern detected on the array. In constructing physical maps of the genome, arrays of immobilized cloned DNA fragments are hybridized with other cloned DNA fragments to establish whether the cloned fragments in the probe mixture overlap and are therefore contiguous to the immobilized clones on the array.

25 The arrays of immobilized DNA sequences may also be used for genetic diagnostics. For example, an array containing multiple forms of a mutated gene or genes can be probed with a labeled mixture of a patient's DNA that will preferentially interact with only one of the immobilized versions of the gene.

30 Arrays of immobilized DNA sequences can also be used in DNA probe diagnostics. For example, the identity of a pathogenic microorganism can be established by hybridizing a sample of the unknown pathogen's DNA to an array containing many types of known pathogenic DNA. A similar technique can also be used for genotyping of an organism. Other molecules of genetic interest, such as

cDNA's and RNAs can be immobilized on the array or alternatively used as the labeled probe that is applied to the array.

In one embodiment, target nucleic acids (referred to herein as a "ligand") may be labeled with a detectable label. The label may be incorporated at a 5' terminal site, a 3' terminal site, or at an internal site within the length of the nucleic acid. Alternately, a "sandwich" assay can be used. In a sandwich assay, a capture probe is immobilized on the substrate surface and is contacted with a target ligand to form an attachment complex. The capture probe is designed such that it binds to a particular sub-part of the ligand. The attachment complex is then contacted with a labeled detection probe that binds to another sub-part of the ligand. Preferred detectable labels include a radioisotope, a stable isotope, an enzyme (typically used in combination with a chromogenic substrate), a fluorescent chemical, a luminescent chemical, or a chromatic chemical. There are many known procedures for incorporating a detectable label into a nucleic acid.

15 The invention has thus been described. It will be apparent to those skilled in the art that many changes can be made in the embodiments described without departing from the scope of the present invention. Thus the scope of the present invention should not be limited to the embodiments described in this application, but only by embodiments described by the language of the claims and the equivalents of 20 those embodiments.

**WHAT IS CLAIMED IS:**

1. A method for generating a microarray, comprising:
  - (a) applying at least one reagent solution containing receptor molecules to a solid support to form a first applied spot pattern, wherein spots in the first applied spot pattern have an area and wherein the reagent solution, the receptor molecules, the solid support, or any combination thereof includes at least one photoreactive group;
  - (b) illuminating the first applied spot pattern to immobilize the receptor molecules to the solid support in a first immobilized spot pattern, wherein spots in the first immobilized spot pattern have an area and wherein the area of the spots in the first immobilized spot pattern is less than the area of the spots in the first applied spot pattern.
- 15 2. The method according to claim 1, wherein the step of applying comprises printing.
3. The method according to claim 1, wherein the step of illuminating comprises masked illumination.
- 20 4. The method according to claim 1, wherein the step of illuminating comprises mirrored laser illumination.
5. The method according to claim 1, wherein the receptor molecule includes at 25 least one photoreactive group.
6. The method according to claim 1, wherein the solid support includes at least one photoreactive group.
- 30 7. The method according to claim 1, wherein the spots of the first applied spot pattern have a center to center distance and the spots of the first immobilized spot pattern have a center to center distance and the center to center distances of the first applied spot pattern and the first immobilized spot pattern are the same.

8. The method according to claim 1, further comprising a washing step after the step of illuminating.

5 9. The method according to claim 1, further comprising a step of:

(a) applying at least one reagent solution containing receptor molecules to the solid support to form a second applied spot pattern, wherein spots in the second applied spot pattern have an area and wherein the reagent solution, the receptor molecules, the solid support, or any combination thereof include at least one photoreactive group; and

10 (b) illuminating the second applied spot pattern to immobilize the receptor molecules to the solid support to form a second immobilized spot pattern wherein spots in the second immobilized spot pattern have an area, and the area of the spots in the second immobilized spot pattern is less than the area of the spots in the second applied spot pattern and the spots in the second immobilized spot pattern are offset from the spots of the first immobilized spot pattern.

15

10. The method according to claim 9, further comprising repeating steps of:

20 (a) applying at least one reagent solution containing receptor molecules to the solid support to form an applied spot pattern, wherein the spots in the applied spot pattern have an area and wherein the reagent solution, the receptor molecules, the solid support, or any combination thereof include at least one photoreactive group; and

25 (b) illuminating the applied spot pattern to immobilize the receptor molecules to the solid support in a immobilized spot pattern wherein spots in the immobilized spot pattern have an area, and the area of the spots in the immobilized spot pattern is less than the area of the spots in the applied spot pattern and the spots in the immobilized spot pattern are offset from an existing immobilized spot pattern,

30 wherein repeating steps (a) and (b) is used to form a high density array.

11. The method according to claim 9, wherein the first immobilized spot pattern has a pitch and the second immobilized spot pattern has a pitch and the pitch

of the second immobilized spot pattern is the same as the pitch of the first immobilized spot pattern.

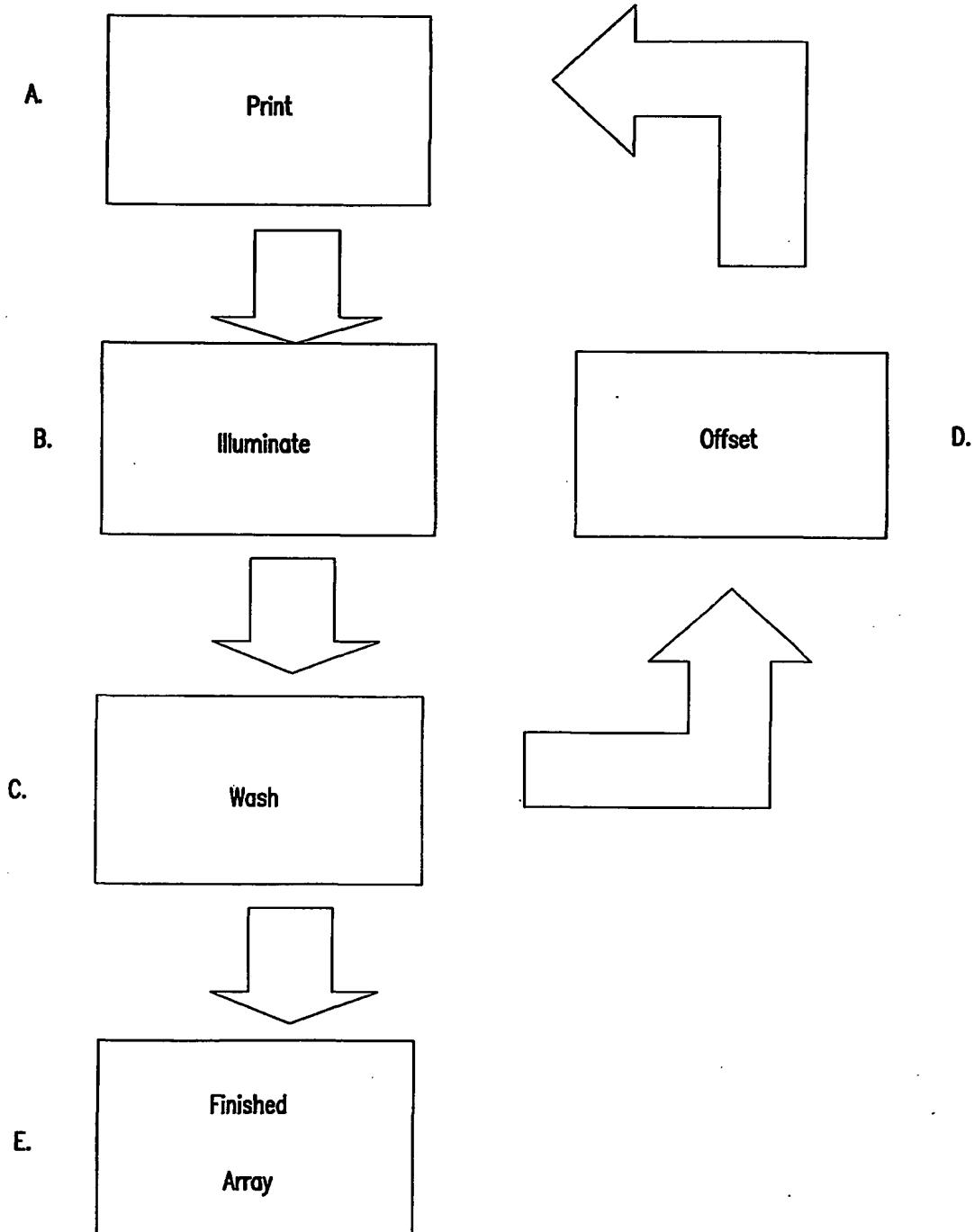
12. The method according to claim 1, wherein the step of illuminating comprises illuminating the first applied spot pattern in a circular configuration.
13. The method according to claim 1, wherein the step of illuminating comprises illuminating the first applied spot pattern in a non-circular configuration.
14. The method according to claim 1, further comprising a step of:
  - (a) applying at least one reagent solution containing receptor molecules to the solid support to form a second applied spot pattern, wherein spots in the second applied spot pattern have an area and wherein the reagent solution, the receptor molecules, the solid support, or any combination thereof includes at least one photoreactive group;
  - (b) illuminating the second applied spot pattern in a different configuration than the first immobilized spot pattern to immobilize the receptor molecules to the solid support in a second immobilized spot pattern having a different configuration than the first immobilized spot pattern wherein spots in the second immobilized spot pattern have an area, and the area of the spots in the second immobilized spot pattern is less than the area of the spots in the second applied spot pattern.
15. The method according to claim 14, wherein the second immobilized spot pattern is offset from the first immobilized spot pattern.
16. The method according to claim 14, wherein the second immobilized spot pattern is superimposed on the first immobilized spot pattern.
17. A microarray prepared by the method of claim 1.
18. A microarray prepared by the method of claim 10.

19. A microarray prepared by the method of claim 14.
20. A microarray comprising a solid support having a pattern of nucleic acid spots wherein the spots have a diameter of less than 100  $\mu\text{m}$  and comprise nucleic acids having a sequence of at least 30 bases.  
5
21. The microarray according to claim 20, wherein the nucleic acids have a sequence of at least 40 bases.  
10
22. The microarray according to claim 20, wherein the nucleic acids have a sequence of at least 50 bases.
23. The microarray according to claim 20, wherein the nucleic acids comprise cDNA.  
15
24. The microarray according to claim 20, wherein the nucleic acid spots have a diameter of less than 50  $\mu\text{m}$ .
- 20 25. The microarray according to claim 20, wherein the pattern of nucleic acid spots has a density of more than 5,000 spots per square centimeter.
26. The microarray according to claim 20, wherein the pattern of nucleic acid spots has a density between 10,000 and 100,000 spots per square centimeter.  
25
27. The microarray according to claim 20, wherein the spots have an essentially circular configuration.
28. The microarray according to claim 20, wherein the spots have a non-circular configuration.  
30
29. The microarray according to claim 20, comprising spots having differing configurations.

30. The microarray according to claim 29, wherein the spots having differing configurations are offset from one another.
- 5 31. The microarray according to claim 29, wherein the spots having differing configurations are superimposed on one another.
32. The microarray according to claim 20, wherein the solid support comprises a two-dimensional solid support.
- 10 33. The microarray according to claim 20, wherein the solid support comprises a three-dimensional solid support.

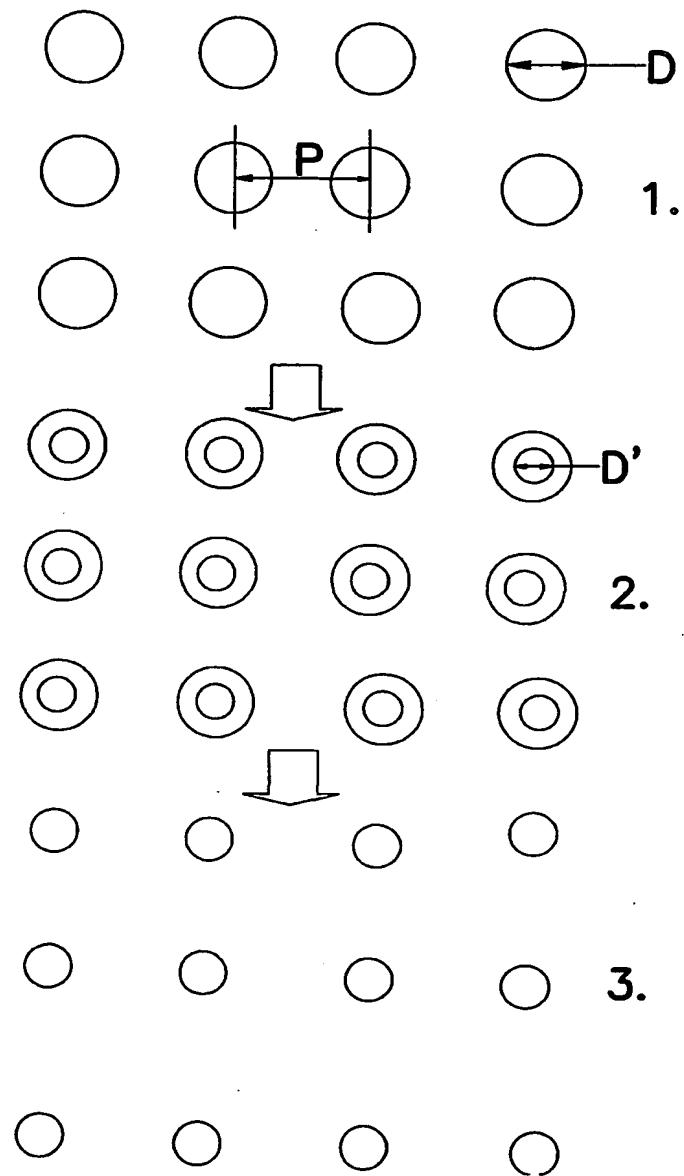
1/4

FIG. 1



2/4

FIG. 2A



3/4

## FIG. 2B

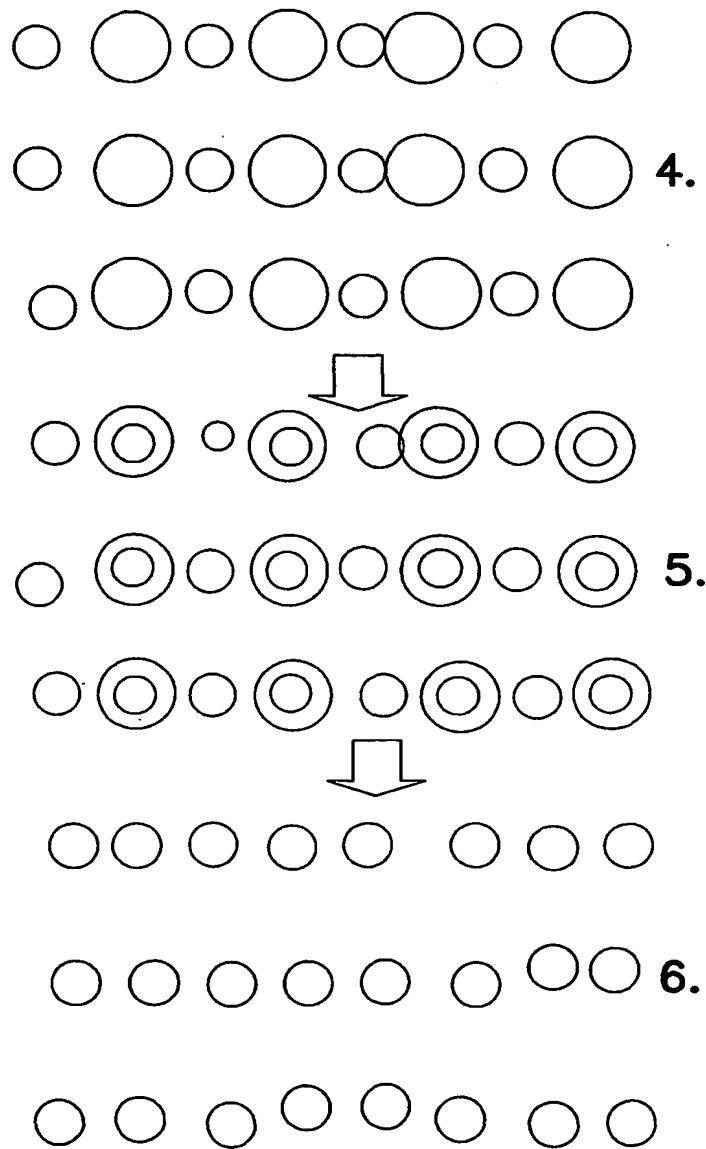


FIG. 3

